

2007 OSDH Final Risk Assessment (Draft)

Measurement of Risk Defined as a Value		1.00	
<u>Overall Score</u>	<u>Auditable Units/Processes</u>	<u>Total</u>	<u>Notes</u>
	Internal Audit's 2008 Focus Reviews (Excluding CHD Reviews)		
	Reviewed as part of the County Health Dept. Audit Procedures		
	Addressed as Part of Invoice Validation Procedures		
	Lower Risk; To be Reviewed Time Permitting.		
	Removed from 2007 Consideration		

2017 Risk Analysis by Community Family Health Service
(Raw Data)

	15%	10%	15%	10%	15%	10%	5%	5%	5%	10%	1.00
Auditable Units/Processes	Federal Requirements	State Statutes	Dollar or Transaction Volume*	Perceived Adequacy & Effectiveness of the system of Monitoring, Oversight & Supervisory Controls	Previous Audit Findings and/or Questioned Costs in Last two yrs	History of fraud or abuse in this process or practice	Time Expired Since Last Audit or Review	Complexity or volatility of activities	Competency of Staff Responsible	Staff physically handle cash or other assets	Total
	1 = nonfederal 3 = some federal 5 = federal	1 = no 5 = yes	1 = < \$500,000; <50 2 = \$500,000 - \$1.5 mil.; 51-150 3 = \$1.5 mil. - \$3 mil.; 151-300 4 = \$3 mil. - \$6 mil.; 301-500 5 = \$6 mil.< 500<	1 = good I/C's 3 = moderate 5 = poor I/C's	1 = no findings 5 = prev. findings	1 = no history 5 = history	1 = 1 - 3 years 2 = 4 - 6 years 3 = 7 - 9 years 4 = 10-12 years 5 = over 12 yrs	1 = not complex 3 = avg. complex 5 = very complex	1 = very experienced 3 = avg. experience 5 = not experienced	1 = doesn't handle cash 5 = handles cash	
Community and Family Health Services											
Early Intervention											
Site Specific Activities	5	1	1	1	1	1		3	1	1	1.65
Early Intervention	3	5	5	1	1	1		5	1	1	2.45
Family Support and Prevention Service											
Children First Program	3	5	5	1	1	1		3	1	1	2.35
OCAP	1	5	4	1	1	1		3	1	1	1.90
CATCC	3	5	2	1	1	1		1	1	1	1.80
CBCAP	5	1	2	1	1	1		3	5	1	2.00
Alternatives to Abortion	1	5	1	3	1	1		1	3	1	1.65
Strengthening Families	1	1	1	3	1	1		3	3	1	1.35
Child Guidance Service											
Warm Line	1	1	1	1	1	1		3	3	1	1.15
Guidance services	1	5	3	1	1	1		3	1	1	1.75
Maternal & Child Health Service											
Family Planning (includes X and Waiver)	3	1	5	1	1	1		5	1	1	2.05
MCH Block Grant (includes MIH and CAH activities)	3	1	5	1	1	1		5	1	1	2.05
Pregnancy Risk Assessment Monitoring Sys.	5	1	1	1	1	1		3	1	1	1.65
Early Childhood Comprehensive Systems	5	1	1	1	1	1		1	1	1	1.55
State Systems Development Initiative	5	1	1	1	1	1		1	1	1	1.55
YRBS	5	1	1	1	1	1		1	1	1	1.55
Dental Service											
Dental Disease Prevention - Oral Health	1	1	1	1	1	1		1	3	1	1.05
Dental Care Services	1	1	1	1	1	1		1	3	1	1.05
WIC Service											
WIC services	5	1	5	1	1	1.5		3	1	1	2.30
Community Health Mini Grants	5	1	1	2	1	1		3	3	1	1.85
Independent Contracts	5	1	4	1	1	2		3	1	1	2.20
Vendor Services, Food Funds - Grocers	5	1	5	1	1	3		3	1	1	2.45
County Health Departments											

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(Raw Data)[illegible]